



2.661 #2
11-4-02

PATENT
Attorney Docket No.: SONY-15100

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Jon Ebbe Brelin

Serial No.: 09/972,488

Filed: October 4, 2001

For: **A METHOD OF AND APPARATUS
FOR UTILIZING EXTENDED
AV/C COMMAND FRAMES
INCLUDING STATUS INQUIRY,
NOTIFY INQUIRY AND
CONTROL INQUIRY COMMAND
TYPES**

) Group Art Unit: 2661

) Examiner:

) TRANSMITTAL LETTER

) 162 N. Wolfe Road
) Sunnyvale, CA 94086
) (408) 530-9700

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Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Enclosed please find a Supplemental Information Disclosure Statement and Form PTO-1449, including copies of the references contained thereon, for filing in the U.S. Patent and Trademark Office.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1275. **An originally executed duplicate of this transmittal is enclosed for this purpose.**

Respectfully submitted,
HAVERSTOCK & OWENS LLP

Dated: October 21, 2002

By: Jonathan O. Owens
Jonathan O. Owens
Reg. No.: 37,902

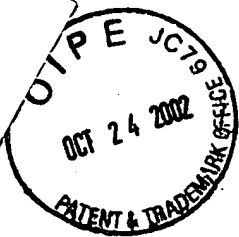
Attorneys for Applicant

CERTIFICATE OF MAILING (37 CFR § 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington D.C. 20231

HAVERSTOCK & OWENS LLP

Date: 10-21-02 By: Jonathan O. Owens



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) Group Art Unit: 2661

) Examiner:

) **INFORMATION DISCLOSURE**
) **STATEMENT**

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Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

The citations listed below, copies attached, may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

Applicants have become aware of the following printed publication which may be material to the examination of this application:

- U.S. Patent No. 5,793,366;
- U.S. Patent No. 6,141,702;
- U.S. Patent No. 6,160,796; and
- U.S. Patent No. 6,288,716 B1.

PATENT
Attorney Docket No.: SONY-15100

This Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that anyone or more of these citations constitutes prior art.

Respectfully submitted,
HAVERSTOCK & OWENS LLP

Dated: October 21, 2002

By: Jonathan O. Owens
Jonathan O. Owens
Reg. No.: 37,902

Attorneys for Applicant

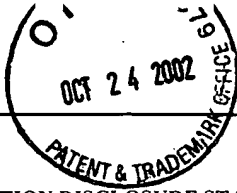
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HAVERSTOCK & OWENS LLP.

Date: 10-21-02 By: Theresa D. Ruscon



FORM PTO-1449
(Modified)

U.S. Department of Commerce
Patent and Trademark Office

Attorney Docket No.: SONY-15100

Serial No.: 09/972,488

INFORMATION DISCLOSURE STATEMENT BY APPLICANT
(Use Several Sheets If Necessary)

Applicant: Jon Ebbe Brelin

(37 CFR § 1.98(b))

Filing Date: October 4, 2001

Group Art Unit: 2661

U.S. PATENT DOCUMENTS

Examiner Initials		Serial / Patent Number	Issue Date	Applicant / Patentee	Class	Subclass	Filing Date
	AA	5,793,366	08/11/98	Mano et al.	345	329	11/12/96
	AB	6,141,702	10/31/00	Ludtke et al.	710	5	07/01/98
	AC	6,160,796	12/12/00	Zou	370	257	01/06/98
	AD	6,288,716 B1	09/11/01	Humpleman et al.	345	329	06/24/98
	AE						
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	AG						
	AH						
	AI						
	AJ						

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FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS

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		Document Number	Publication Date	Country / Patent Office	Class	Subclass	Translation	
							Yes	No
	AK							
	AL							
	AM							
	AN							
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OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)

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Examiner:

Date Considered:

EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.